

# JUDGE BUCHWALD

AO 120 Rev. 3/04

TO: Director of the U.S. Patent and Trademark Office  
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RECEIVED ON THE  
FILING OR DETERMINATION OF AN  
ACTION REGARDING A PATENT OR  
TRADEMARK  
**SOLICITOR** 07-01-5442

JUN 25 2007

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of New York on the following  Patents or  Trademarks:

| DOCKET NO.   | DATE FILED                     | U.S. DISTRICT COURT  |
|--|--------------------------------|--|
|  | 6/6/2007                       | for the Southern District of New York  |
| PLAINTIFF<br>RONALD A. KATZ TECHNOLOGY LICENSING, L.P.<br><br>U.S. PATENT & TRADEMARK OFFICE |                                | DEFENDANT<br>NEW YORK LIFE INSURANCE COMPANY, NEW YORK<br>LIFE AND ANNUITY CORPORATION, NYLIFE INSURANCE<br>COMPANY OF ARIZONA, NYLIFE SECURITIES LLC ; M&T<br>BANK CORPORATION; MANUFACTURERS AND TRADERS<br>TRUST COMPANY; M&T BANK, N.A.; THE HARTFORD<br>FINANCIAL SERVICES GROUP, INC.; HARTFORD LIFE,<br>INC.; HARTFORD LIFE AND ACCIDENT INSURANCE<br>COMPANY; HARTFORD LIFE INSURANCE COMPANY;<br>HARTFORD FIRE INSURANCE COMPANY; HARTFORD<br>CASUALTY INSURANCE COMPANY; HARTFORD<br>ACCIDENT AND INDEMNITY COMPANY; HARTFORD<br>UNDERWRITERS INSURANCE COMPANY, HARTFORD<br>INSURANCE COMPANY OF ILLINOIS, THE HARTFORD<br>MUTUAL FUNDS, INC. |
| PATENT OR<br>TRADEMARK NO.   | DATE OF PATENT<br>OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK  |
| 1 6,035,021  | 3/7/00                         | Ronald A. Katz   |
| 2 6,148,065  | 11/14/00                       | Ronald A. Katz Technology Licensing LP   |
| 3 5,974,120  | 10/26/99                       | Ronald A. Katz Technology Licensing LP   |
| 4 6,349,134  | 2/19/02                        | Ronald A. Katz Technology Licensing LP   |
| 5 4,930,150  | 5/29/90                        | First Data Resources, Inc.   |
| 6 5,787,156  | 7/28/98                        | Ronald A. Katz Technology Licensing LP   |
| 7 6,434,223  | 8/13/02                        | Ronald A. Katz Technology Licensing LP   |
| 8 5,251,252  | 10/5/93                        | First Data Resources, Inc.   |
| 9 5,351,285  | 9/27/94                        | First Data Resources, Inc.   |
| 10 5,255,309   | 10/19/93                       | First Data Resources, Inc.   |
| 11 6,678,360   | 1/13/04                        | Ronald A. Katz Technology Licensing LP   |
| 12 5,815,551   | 9/29/98                        | Ronald A. Katz Technology Licensing LP   |
| 13 6,424,703   | 7/23/02                        | Ronald A. Katz Technology Licensing LP   |
| 14 5,561,707   | 10/1/96                        | Ronald A. Katz Technology Licensing LP   |
| 15 5,828,734   | 10/27/98                       | Ronald A. Katz Technology Licensing LP   |
| 16 5,898,762   | 4/27/99                        | Ronald A. Katz Technology Licensing LP   |

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|--------------|----------|--|
| 17 5,684,863 | 11/04/97 | Ronald A. Katz Technology Licensing LP |
| 18 5,917,893 | 6/29/99  | Ronald A. Katz Technology Licensing LP |
| 19 6,335,965 | 1/1/02   | Ronald A. Katz Technology Licensing LP |
| 20 4,792,968 | 12/20/88 | FDR Interactive Tech                   |
| 21 5,128,984 | 7/7/92   | First Data Resources, Inc.             |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

| DATE INCLUDED           | INCLUDED BY                 | <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading |
|-------------------------|-----------------------------|--|
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK  |
| 1                       |                             |  |
| 2                       |                             |  |
| 3                       |                             |  |
| 4                       |                             |  |
| 5                       |                             |  |

In the above—entitled case, the following decision has been rendered or judgement issued:

|                    |
|--------------------|
| DECISION/JUDGEMENT |
|--------------------|

|                    |                   |          |
|--------------------|-------------------|----------|
| CLERK              | (BY) DEPUTY CLERK | DATE     |
| J. Michael McMahon | Maribel Becerra   | 11/11/07 |

Copy 1—Upon initiation of action, mail this copy to Director    Copy 3—Upon termination of action, mail this copy to Director  
 Copy 2—Upon filing document adding patent(s), mail this copy to Director    Copy 4—Case file copy

